

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U67120KA2000PTC027628

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACW3290M

(ii) (a) Name of the company

WAY2WEALTH BROKERS PRIVA

(b) Registered office address

RUKMINI TOWERS, 3RD & 4TH FLOOR,
NO.3/1, PLATFORM ROAD, SHESHADRIPURAM,
BANGALORE
Bangalore
Karnataka
560022

(c) *e-mail ID of the company

compliance@way2wealth.com

(d) *Telephone number with STD code

08043676869

(e) Website

www.way2wealth.com

(iii) Date of Incorporation

16/08/2000

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	92.92

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	WAY2WEALTH SECURITIES PRI	U72200KA2000PTC027020	Holding	100
2	WAY2WEALTH COMMODITIES	U51229KA2006PTC039880	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	22,000,000	18,350,000	18,350,000	18,350,000
Total amount of equity shares (in Rupees)	220,000,000	183,500,000	183,500,000	183,500,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity shares				

Number of equity shares	22,000,000	18,350,000	18,350,000	18,350,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	220,000,000	183,500,000	183,500,000	183,500,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	1,006	18,348,994	18350000	183,500,000	183,500,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0

vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	1,006	18,348,994	18350000	183,500,000	183,500,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

568,460,000

(ii) Net worth of the Company

739,860,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,001	0.01	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others 00	18,348,994	99.99	0	
	Total	18,349,995	100	0	0

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	5	0	0	0
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Total number of shareholders (other than promoters)

5

**Total number of shareholders (Promoters+Public/
Other than promoters)**

7

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	2
Members (other than promoters)	4	5
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	1	0	0	0
B. Non-Promoter	0	4	0	3	0	0
(i) Non-Independent	0	2	0	3	0	0
(ii) Independent	0	2	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	4	1	3	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

4

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NITHYANAND COIMBA	00212237	Director	1	30/06/2021
SRINATH GIDDE GOW	01901681	Director	1	30/06/2021
SHRIDHAR SRIKANTIA	00106780	Director	0	
AMIT SANKAR GUPTA	00421673	Director	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

9

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
PRAVEEN MOOLIMAN	BGLPM1573Q	Company Secretar	01/07/2020	Cessation
SACHIN MANJUNATH	AOHPH9372K	Company Secretar	30/07/2020	Appointment
SACHIN MANJUNATH	AOHPH9372K	Company Secretar	21/11/2020	Cessation
SHRIDHAR SRIKANTIA	00106780	Additional director	29/12/2020	Appointment
HEDNA VISHNUMURT	02558716	Director	29/12/2020	Cessation
SREEDHAR BASAVEG	06608731	Director	29/12/2020	Cessation
AMIT SANKAR GUPTA	00421673	Additional director	29/12/2020	Appointment
SACHIN MANJUNATH	AOHPH9372K	Company Secretar	11/02/2021	Appointment
MADHUGIRIRAJASIMH	ABDPB4470B	CEO	01/03/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

3

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	15/10/2020	7	6	99.99
Extra ordinary meeting	22/08/2020	7	6	99.99
Extra ordinary meeting	29/12/2020	7	5	99.99

B. BOARD MEETINGS

*Number of meetings held

13

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	01/06/2020	4	4	100
2	30/07/2020	4	2	50
3	17/08/2020	4	2	50
4	09/09/2020	4	2	50
5	15/09/2020	4	2	50
6	30/09/2020	4	2	50
7	01/10/2020	4	2	50
8	08/10/2020	4	2	50
9	08/11/2020	4	2	50
10	17/11/2020	4	2	50
11	14/12/2020	4	2	50
12	29/12/2020	4	2	50

C. COMMITTEE MEETINGS

Number of meetings held

8

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit committee	30/05/2020	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
2	Audit committee	29/07/2020	3	3	100
3	Audit committee	15/09/2020	3	3	100
4	Audit committee	30/09/2020	3	3	100
5	Audit committee	07/10/2020	3	3	100
6	Audit committee	11/02/2021	2	2	100
7	CSR committee	20/09/2020	3	3	100
8	CSR committee	29/03/2021	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/06/2021
								(Y/N/NA)
1	NITHYANAND	13	12	92.31	0	0	0	No
2	SRINATH GID	13	13	100	8	8	100	Yes
3	SHRIDHAR SI	1	1	100	0	0	0	Yes
4	AMIT SANKAR	1	1	100	2	2	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MADHUGIRIRAJAS	CEO	11,694,225	0	0	0	11,694,225

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	SRINATH GIDDE G	CFO	7,796,721	0	0	0	7,796,721
3	SACHIN MAJUNAT	CS	402,455	0	0	0	402,455
	Total		19,893,401	0	0	0	19,893,401

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Pratibha Mohta

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

15678

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ..

18

dated

20/05/2021

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Gargeshwar
Shridhar
Srikantiah
Digitally signed by
Gargeshwar Shridhar
Srikantiah
Date: 2021.08.28
18:37:03 +05'30'

DIN of the director

00106780

To be digitally signed by

SACHIN
MANJUNATH
HEGDE
Digitally signed by
SACHIN MANJUNATH
HEGDE
Date: 2021.08.28
18:42:32 +05'30'

☒ Company Secretary

☐ Company secretary in practice

Membership number

61937

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach**Attach****Attach****Attach****List of attachments**

List of Shareholders.pdf
Declaration.pdf
List of Holding Subsidiary Associate Comp
Details of Board Meeting.pdf
MGT-8.pdf

Remove attachment

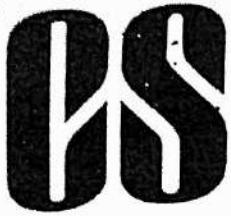
Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



Pratibha Mohta & Co.
(Formerly Pratibha Vahini & Co.)
Company Secretaries

FORM NO. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

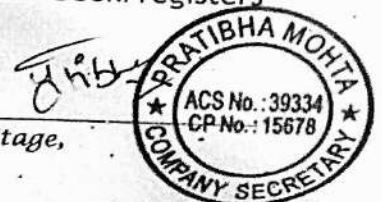
We have examined the registers, records and books and papers of Way2wealth Brokers Private Limited ("the Company"), having CIN: U67120KA2000PTC027628 as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made there under for the financial year ended on 31st March, 2021. In my opinion and to the best of my information and according to the examinations carried out by me virtually and explanations furnished to us by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act; the Company is Private Limited Company, limited by Shares and Active as on date of issue of this Certificate.
2. The Company has kept and maintained all registers/records & making entries therein within the time and format prescribed therefore;
3. The company has filed the forms and returns with the Registrar of Companies under the Act and the rules made there;
4. a. The Company has called/ convened and held meetings of Board of Directors and its committees on due dates as stated in the annual return. The maximum gap of the Meeting of the Board of Directors does not exceed 120 days.
b. The Company has held its Annual General Meeting within the extended period as approved by the Registrar of Companies.
c. The proceedings have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed,

19, 2nd Floor, 7th Block, 100 Feet Ring Road, Banashankari 3rd Stage,
(Above Tyre care centre), Bangalore - 560085
Mo: +91-9964381421; Email: pratibha@pvcs.co.in





Pratibha Mohta & Co.
(Formerly Pratibha Vahini & Co.)
Company Secretaries

d. As explained to us the Company has given proper notice for the said meetings as per the provisions of the Act.
e. There are no circular resolutions and no resolutions passed by postal ballot during the period under review;

5. The company is not required to close its Register of Members during the year under Section 91 of the Act;
6. According to the information and explanation given to us, the Company has complied with the provisions of Section 185 of the Act, with respect to Loans given, to the extent applicable, further there are no guarantee and securities given;

Further Section 185 of the Act is not applicable for the Loans provided during the period under review to the wholly owned subsidiaries as the same is utilized by the said subsidiaries for their principal business activities.

7. According to the explanations and information's provided and further based on the disclosures in Audit report and financial statements for the year ending 31st March, 2020 and also for the year ending 31st March, 2021, the company has complied with the provisions of Section 188 of the Act;
8. The Company has not issued, allotted or transferred, any of its shares during the period under review;
9. The company has not bought back any shares during the financial year ending 31st March, 2021;
10. There are no preference shares or debentures issued or redeemed during the period under review;
11. The Company has not altered or reduced its capital during the year;
12. The Company has not issued any share certificates in lieu of conversion of shares/securities.
13. There were no transactions necessitating the company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares.

19, 2nd Floor, 7th Block, 100 Feet Ring Road, Banashankari 3rd Stage,
(Above Tyre care centre), Bangalore - 560085
Mo: +91-9964381421; Email: pratibha@pvcs.co.in





Pratibha Mohta & Co.
(Formerly Pratibha Vahini & Co.)
Company Secretaries

14. The Company has not declared or paid dividend during the period under review. Further there was no requirement to transfer any unpaid, unclaimed amount to Investor Education and Protection fund for the year ended March 31, 2021.
15. The Company has duly signed its audited financial statement as per the provisions of section 134 of the Act and has maintained its report of Directors as per sub-sections (3) and (5) thereof.
16. The Company has duly noted the constitution, appointment, disclosures of the Directors, and the remuneration paid to them were within the limits prescribed under the Act;

Mr. Amit Sankar Gupta, Mr. Shridhar Srikantiah Gargeshwari were appointed as an Additional Director with effect from 29th December, 2020. Further Mr. Hedna Vishnumurthy Santhrupth and Mr. Sreedhar Basavegowda, Independent Directors resigned from office with effect from 29th December, 2020. However due to the current pandemic situation there was a delay in filing the said vacancy of the Independent Director beyond the time specified under Sub Rule 4 of Companies (Appointment and Qualifications of Directors) Rules 2014.

Mr. M R Shashibhushan has resigned from the post of Chief Executive Officer (CEO) of the Company with effect from 01st March, 2021.

17. There was a casual vacancy created due to resignation of BSR & Associates LLP, the Statutory Auditors of the Company, however M/s. Chandra & Gurukar, Chartered Accountants, Bangalore were appointed as the Statutory Auditors in the Extra-Ordinary General Meeting held on 22nd August, 2020 and further they were reappointed from 2020-21 to 2023-24 in the Annual General Meeting held on 15th October, 2020.
18. There were no instances of obtaining any approvals from the Central Government, Tribunal, Regional Director, Court or such other authorities falling under various provisions of the Act during the period under review.
19. The Company has not invited or accepted any deposits from any of its members, directors or their relatives.

1Y, 2nd Floor, 7th Block, 100 Feet Ring Road, Banashankari 3rd Stage,
(Above Tyre care centre), Bangalore - 560085
Mo: +91-9964381421; Email: pratibha@pvcs.co.in





Pratibha Mohita & Co.
(Formerly Pratibha Vahini & Co.)
Company Secretaries

20. The Company has not borrowed any loans from its Directors; however the Company has borrowed loans from Body Corporate's during the period under review.
21. According to the information and explanation given to us, the Company has complied with the provisions of Section 186 of the Act, with respect to Loans and investments made, to the extent applicable, further there are no guarantee and securities given ;
22. The Company falls under the purview of Section 135 of the Companies Act, 2013 and the same has been complied with. Further due to the current pandemic situation, the Company had extinguished the carry forwarded CSR Expenditure of previous years in the Board Meeting held on 30th September, 2020.
23. The company has not altered its Memorandum and Articles of Association during the year under review.

Place: Bangalore

Date: 23rd August, 2021

CS Pratibha Mohita

ACS NO:39334

CP NO: 15678

UDIN:A039334C000820290





Pratibha Mohta & Co.
(Formerly Pratibha Vahini & Co.)
Company Secretaries

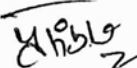
DECLARATION

In connection with Issue of Certificate to Form MGT-7 and signing of the said Form pertaining to the financial year 2020-21 under section 92(1) of the Companies Act, 2013, I wish to state that my signing as a Company Secretary in Practice is subject to the following:

1. The maintenance of statutory records and registers under the companies Act, 2013 is the responsibility of the Board of directors and management of the Company;
2. The compliance of applicable provisions of the Companies Act, 2013 and disclosure under the Companies Act, 2013 is the responsibility of the Board of Directors and the management of the company.
3. The financial information & particulars filled in the said form are based on the financial statements of the company for the financial year 2020-21 and
4. The annual return (including the particulars of the compliances made by the Company under the Companies Act, 2013 stated at the point no. XI (A) & reasons/ observations for non-compliances, stated at no XI (B) and the particulars of penalty and punishment, if any stated at point no XII) and attachment thereto have been prepared/ filled by the management of the Company and confirmed by the director signing the said form.

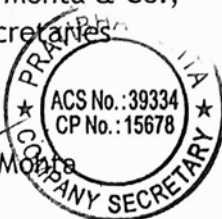
As per information and explanations provided virtually (due to the current Pandemic condition) to me and based on my verification of the Company's statutory records, forms and returns filed and other records maintained by the Company as required under the applicable provisions of the Companies Act, 2013 and Rules framed there under and also as per the details available from the Company, its officers, agents, and authorized representatives during the process of verification of the contents of annual return of the company as mentioned hereinabove, I found it to be true, correct and complete and no information material to this form has been suppressed or concealed.

For, Pratibha Mohta & Co.,
Company Secretaries


CS Pratibha Mohta
Partner


C.P. No. : 15678

Membership No. 39334



List of shareholders of Way2Wealth Brokers Pvt. Ltd as on 31 st March 2021		
Sl.No.	Name of shareholder	Number of shares
1	Way2Wealth Securities Pvt Ltd - Demat	18348994
2	V G Siddhartha	1001
3	C K Nithyananda	1
4	B G Srinath	1
5	M R Shashibhushan	1
6	Manjunatha K M	1
7	Anil Kumar K G	1
Total		18350000

FOR WAY2WEALTH BROKERS PRIVATE LIMITED


G S SHRIDHAR
DIRECTOR
DIN: 00106780



Way2Wealth Brokers Private Limited

CIN No.: U67120KA2000PTC027628

Registered Office: Rukmini Towers, 3rd & 4th Floor, # 3/1, Platform Road, Sheshadripuram, Bangalore - 560 020. Tel.: (080) 43676869, (080) 23564556/23561952

Corporate Office: EFC Office Infra, 14th Floor, D Wing, Empire Tower, Cloud City, Gut No. 31, Airoli, Off Thane-Belapur Road, Navi Mumbai - 400708. Tel.: 022-61462900/40192900

E-mail: compliance@way2wealth.com

Website: www.way2wealth.com

**PARTICULARS OF HOLDING, SUBSIDIARY, JOINT VENTURES
AND ASSOCIATE COMPANIES AS ON 31.03.2021**

S. No	Name of the company	CIN / FCRN	Holding/ Subsidiary/ Joint Ventures/ Associate	% of shares held
1	Way2wealth Securities Private Limited	U72200KA2000PTC027020	Holding	100
2	Way2wealth Commodities Private Limited	U51229KA2006PTC039880	Subsidiary	100

NUMBER OF BOARD MEETINGS HELD DURING THE YEAR

Sl.No	Date of meeting	Total number of Directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	01/06/2020	4	4	100
2	30/07/2020	4	2	50
3	17/08/2020	4	2	50
4	09/09/2020	4	2	50
5	15/09/2020	4	2	50
6	30/09/2020	4	2	50
7	01/10/2020	4	2	50
8	08/10/2020	4	2	50
9	08/11/2020	4	2	50
10	17/11/2020	4	2	50
11	14/12/2020	4	2	50
12	29/12/2020	4	2	50
13	11/02/2021	4	3	75